

## Glossary (GLO)

\*In this attachment underlining indicates new text and striking through indicates deleted text.

1. **INTRODUCTION**

1.1 **Application**

1.1.1 This module ("**GLO**") applies to every Person to whom any module of the Rulebook applies.

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<b>Defined Terms</b>	<b>Definitions</b>
Auditor	Means a person appointed in accordance with section 189 <del>7</del> of FSMR, who satisfies such requirements as to qualifications, experience and other matters (if any) as may be specified.
<u>Cell Company</u>	<u>Means a Protected Cell Company or an Incorporated Cell Company.</u>
<u>Delivery Versus Payment Transaction</u>	<u>A transaction in which the transfer of Investments and the payment of Money between the buyer and seller are intended to occur around the same time.</u>
<del>Designated Fund</del>	<del>Means a Foreign Fund designated by the Regulator in accordance with section 118(4) of FSMR.</del>
<u>Nominee Company</u>	<u>A company incorporated in the ADGM whose business consists solely of acting as a holder of Client Assets where such assets are held by the Nominee Company as agent of an Authorised Person.</u>
Non-ADGM Recognised Body	Means a Non-ADGM Clearing House or Non-ADGM Investment Exchange which has been approved by the Regulator in accordance with section <del>127</del> <del>163</del> of FSMR.
Non-ADGM Recognised Clearing House	Means a clearing house operating outside the ADGM which has been approved by the Regulator in accordance with section <del>127</del> <del>163</del> of FSMR.
Non-ADGM Recognised Investment Exchange	Means an investment exchange operating outside the ADGM which has been approved by the Regulator in accordance with section <del>127</del> <del>163</del> of FSMR.
Responsible Officer	Means the Approved Function described in GEN 5. <del>43</del> .9.
Rulebook	Means the ADGM financial services regulation rulebook incorporating GEN, PRU, PIN, MIR, AML, RMC, MKT, COBS, <u>FUNDS</u> , <u>CIB</u> , <u>IFR</u> and FEES.
Service Provider	Means for the purpose of FUNDS, a Person who is delegated an activity or outsourced a function by a Fund Manager or Trustee under the terms of a <u>Delegation Service Agreement</u> , as described in FUNDS Chapter 12.